

## Evropská komise – GŘ FISMA – šablona

([https://view.officeapps.live.com/op/view.aspx?src=https%3A%2F%2Ffinance.ec.europa.eu%2Fdocument%2Fdownload%2F750cab7-7bc2-4fc2-81e6-e18463990b59\\_en%3Ffilename%3Dfaqs-sanctions-russia-tanker-sales-notification-template\\_en.docx&wdOrigin=BROWSELINK](https://view.officeapps.live.com/op/view.aspx?src=https%3A%2F%2Ffinance.ec.europa.eu%2Fdocument%2Fdownload%2F750cab7-7bc2-4fc2-81e6-e18463990b59_en%3Ffilename%3Dfaqs-sanctions-russia-tanker-sales-notification-template_en.docx&wdOrigin=BROWSELINK))

### **EU Sanctions - Council Regulation (EU) 833/2014 - notification of tanker sales under Article 3q, paragraph 4**

#### **OVERVIEW**

- This form can be used to notify the relevant Member State competent authority following the sale or other transfer of ownership of a tanker to any third country (except Russia) in accordance with Article 3q of Council Regulation (EU) 833/2014.
- Any sale or other transfer of ownership of a tanker to a Russian person or for use in Russia is subject to an authorisation requirement.
- Member State competent authorities are responsible for the implementation and enforcement of EU sanctions. You can find a list of competent authorities in Annex I of Council Regulation (EU) 833/2014.
- Full text of Article 3q of Council Regulation (EU) 833/2014 can be found in the last page of this document or in the amending Council Regulation (EU) 2023/2878: [https://eur-lex.europa.eu/legal-content/EN/TXT/PDF/?uri=OJ:L\\_202302878](https://eur-lex.europa.eu/legal-content/EN/TXT/PDF/?uri=OJ:L_202302878)
- EU guidance on the sale or other transfer of ownership of a tanker is available at: [https://finance.ec.europa.eu/publications/tanker-sales\\_en](https://finance.ec.europa.eu/publications/tanker-sales_en)
- EU guidance on the G7 Russian Oil Price Cap is available at: [https://finance.ec.europa.eu/publications/oil-price-cap\\_en](https://finance.ec.europa.eu/publications/oil-price-cap_en)
- It is highly recommended to consult this guidance before completing this form.
- This form is provided as a template. Adjustments can be made as needed to account for national particularities.
- Form last updated: 19 February 2024.

**Template notification form – Article 3q, paragraph 4, of Council Regulation (EU) 833/2014**

**1. Information about the owner(s)**

<b>Identity</b> ( <i>full name and/or legal form</i> )	
<b>Registered address</b>	
<b>Entity identification number / Passport or ID number and country</b>	
<b>Contact details</b>	
<b>VAT number</b> ( <i>where applicable</i> )	
<b>Shareholding structure</b> ( <i>supporting documents should also be provided</i> )	
<b>Management structure</b> ( <i>supporting documents should also be provided</i> )	

**2. Information about the seller(s) and/or intermediary/ies (if different from the owner or acting on its behalf)**

<b>Identity of the owner(s)</b> ( <i>full name and/or legal form</i> )	
<b>Registered address</b>	
<b>Entity identification number / Passport or ID number</b>	
<b>Contact details</b>	
<b>VAT number</b> ( <i>where applicable</i> )	
<b>Capacity</b> ( <i>please detail seller/intermediary capacity and provide supporting documentation</i> )	

**3. Information about the purchaser(s)**

<b>Identity of the purchaser</b> ( <i>full name and/or legal form</i> )	
<b>Registered address</b>	
<b>Entity / company identification number</b>	
<b>Contact details</b>	
<b>VAT number</b> ( <i>where applicable</i> )	
<b>Shareholding structure</b> ( <i>supporting documents should also be provided</i> )	
<b>Management structure</b> ( <i>supporting</i> )	

<i>documents should also be provided)</i>	
---	--

**4. Information about the intermediary/ies acting for the purchaser(s) (if applicable)**

<b>Identity of the owner(s)</b> <i>(full name and/or legal form)</i>	
<b>Registered address</b>	
<b>Entity identification number / Passport or ID number</b>	
<b>Contact details</b>	
<b>VAT number</b> <i>(where applicable)</i>	
<b>Capacity</b> <i>(please detail seller/intermediary capacity and provide supporting documentation)</i>	

**5. Information about the tanker(s)**

<b>Name of the tanker</b> <i>(prior to sale or transfer of ownership)</i>	
<b>Type of tanker</b>	
<b>IMO Ship Identification Number of the Tanker</b>	
<b>Call Sign of the Tanker</b>	

**6. Information about the transaction(s)**

<b>Is this a retroactive notification?</b> <i>(see Article 3q, paragraph 5)</i>	<input type="checkbox"/> Yes <input type="checkbox"/> No
<b>Type of transaction</b>	
<b>Date of transaction</b>	

**7. Support documentation**

All relevant supporting documents should be provided. You must attach the following supporting documents (where applicable): incorporation documents of the seller including the shareholding and management structure, incorporation documents of the purchaser including the shareholding and management structure.

## European Commission – DG FISMA - template

Where the seller is different from the owner or an intermediary is acting on behalf of the owner, supporting documents must be provided to demonstrate capacity to notify.

Any other relevant documentation should be identified:

--

### 8. Signature

**Date of Submission**

**Name and Surname**

**Designation**


I hereby certify that the information provided in this report is true and accurate to the best of my knowledge.

*(Signature)*

---

Article 3q of Council Regulation (EU) 833/2014<sup>1</sup>

1. It shall be prohibited for any national of a Member State, natural person residing in a Member State, and legal person, entity or body which is established in the Union to sell, or otherwise transfer ownership, directly or indirectly, of tankers for the transport of crude oil or petroleum products listed in Annex XXV, falling under HS code ex 8901 20, whether or not originating in the Union, to any natural or legal person, entity or body in Russia or for use in Russia.
2. By way of derogation from paragraph 1, the competent authorities may authorise, under the conditions they deem appropriate, the sale or other transfer of ownership of tankers for the transport of crude oil or petroleum products listed in Annex XXV, falling under HS code ex 8901 20.
3. When deciding on requests for the authorisation referred to in paragraph 2 of this Article, the competent authorities shall not grant an authorisation for a sale or other transfer of ownership to any natural or legal person, entity or body in Russia or for use in Russia, if they have reasonable grounds to believe that the tanker would be used to transport, or be re-exported to transport, crude oil or petroleum products listed in Annex XXV, originating in Russia or exported from Russia for import into the Union in breach of Article 3m or for transport to third countries at a purchase price per barrel exceeding the price laid down in Annex XXVIII.
4. Any sale or other arrangement entailing a transfer of ownership by a national of a Member State, a natural person residing in a Member State, and a legal person, entity or body which is established in the Union to any third country of tankers for the transport of crude oil or petroleum products listed in Annex XXV, falling under HS code ex 8901 20, with the exception of a sale or other transfer of ownership prohibited under paragraph 1, shall be notified immediately to the competent authorities of the Member State where the owner of the tanker is a citizen, a resident or is established. The notification to the competent authority shall contain at least, the following information: the identities of the seller and the purchaser, and where applicable the incorporation documents of the seller and the purchaser including the shareholding and management; the IMO ship identification number of the tanker; and the Call Sign of the tanker.
5. Any sale or other transfer of ownership of tankers as referred to in paragraphs 1 and 4 after 5 December 2022 and prior to 19 December 2023 shall be notified to the competent authorities before 20 February 2024.
6. The Member State concerned shall inform the other Member States and the Commission of any authorisation granted under paragraph 2, and of any notification under paragraphs 4 and 5, within two weeks of the authorisation or notification.

Article 8 of Council Regulation (EU) 833/2014

1. Member States shall lay down the rules on penalties, including as appropriate criminal penalties, applicable to infringements of the provisions of this Regulation and shall take all measures necessary to ensure that they are implemented. The penalties provided for

---

<sup>1</sup> [https://eur-lex.europa.eu/legal-content/EN/TXT/PDF/?uri=OJ:L\\_202302878](https://eur-lex.europa.eu/legal-content/EN/TXT/PDF/?uri=OJ:L_202302878)

## European Commission – DG FISMA - template

must be effective, proportionate and dissuasive. Member States shall also provide for appropriate measures of confiscation of the proceeds of such infringements.

2. Member States shall notify the rules referred to in paragraph 1 to the Commission without delay after the entry into force of this Regulation and shall notify it of any subsequent amendment.

### Article 12 of Council Regulation (EU) 833/2014

It shall be prohibited to participate, knowingly and intentionally, in activities the object or effect of which is to circumvent prohibitions in this Regulation.